



Audit Committee	Wednesday, 04 December 2019	Matter for Information
------------------------	--	-----------------------------------

Report Title: **Internal Audit Progress Report (Q2 2019/20)**

Report Author(s): **Clive Mason (Chief Financial Officer / Section 151 Officer)**

Purpose of Report:	To update the Committee on progress made in delivering the 2019/20 Audit Plan and to provide an update in relation to management's implementation of internal audit recommendations.
Report Summary:	<p>The Internal Auditors are satisfied that delivery to date of the 2019/20 Audit Plan is in accordance with the delivery profile agreed with management.</p> <p>Between the end of June and October, no audits have been finalised; although there are a number of audits underway with some at draft report stage.</p>
Recommendation(s):	That the content of the report be noted.
Senior Leadership, Head of Service, Manager, Officer and Other Contact(s):	<p>Clive Mason (Chief Financial Officer / Section 151 Officer) (0116) 257 2736 Clive.Mason@oadby-wigston.gov.uk</p> <p>Tim Ridout (Head of Internal Audit, CW Audit Services) 07590 960639 Tim.Ridout@cwaudit.org.uk</p>
Corporate Objectives:	Providing Excellent Services (CO3)
Vision and Values:	<p>"A Stronger Borough Together" (Vision)</p> <p>Accountability (V1)</p> <p>Innovation (V4)</p> <p>Teamwork (V3)</p> <p>Customer Focus (V5)</p>
Report Implications:-	
Legal:	There are no implications arising from this report.
Financial:	There are no implications arising from this report.
Corporate Risk Management:	<p>Reputation Damage (CR4)</p> <p>Effective Utilisation of Assets / Buildings (CR5)</p> <p>Regulatory Governance (CR6)</p> <p>Organisational / Transformational Change (CR8)</p>
Equalities and Equalities Assessment (EA):	There are no implications arising from this report. EA not applicable.
Human Rights:	There are no implications arising from this report.
Health and Safety:	There are no implications arising from this report.

Statutory Officers' Comments:-	
Head of Paid Service:	The report is satisfactory.
Chief Finance Officer:	As the author, the report is satisfactory.
Monitoring Officer:	The report is satisfactory.
Consultees:	None.
Background Papers:	None.
Appendices:	1. Internal Audit Progress Report (December 2019)

1. Introduction

- 1.1 This report summarises the work of Internal Audit for the period covering from June to the end of October for 2019/20. A copy of the Internal Audit Progress Report is attached at **Appendix 1**.
- 1.2 The purpose of the report is to update the Committee on progress made in delivering the 2019/19 Audit Plan and to provide an update in relation to management's implementation of internal audit recommendations.

2. Information

- 2.1 The Internal Audit Plan for 2019/20 has been agreed, with Section 5 of **Appendix 1** giving details of planned audits over the coming months.
- 2.2 The purpose of the report is to show progress against the Audit Plan and to summarise key findings and conclusions arising from the work performed during the period.
- 2.3 The report shows that no audits have limited assurance.